

TITLE OF THE INVENTION

MIMO WIRELESS COMMUNICATION SYSTEM
AND WIRELESS COMMUNICATION APPARATUSES

5 BACKGROUND OF THE INVENTION

The present invention relates to a multiple-input-multiple-output (MIMO) wireless communication system and wireless communication apparatuses that are used in the MIMO wireless communication system.

In this field of technology, intensive studies are being made on wireless interfaces to improve communication capacities, communication speed, communication quality, resource utilizing rates, and the like. Particularly, in MIMO systems that have been attracting public attention recently, two or more antennas are provided at both the transmission end and the reception end, so that a multiple-input-multiple-output system is formed with wireless transmission channels. With a larger number of antennas for transmission and reception, the usability of space is increased, and the transmission capacity can also be increased.

FIG. 1 is a conceptual view of a MIMO communication system. For ease of explanation, the left side in FIG. 1 is the transmission end, and the right side is the reception end, though each end normally has both transmitting and receiving functions. A transmission signal vector $x(t) = (x_1(t), x_2(t), \dots, x_M(t))^T$ is transmitted through each of M antennas at the transmission end. Here, T represents "transpose", and M is an integer of 2 or greater. It is possible to add an adjustable weight μ_j to each of the M antennas. Here, j is an integer between 1 and M. Likewise, N antennas are provided at the reception end. Based on the signal received at each antenna, a reception signal vector $y(t) =$

$(y_1(t), y_2(t), \dots, y_N(t))^T$ is obtained. Here, N is an integer of 2 or greater, and may be either the same as M or different from M . It is also possible to add an adjustable weight v_i to each of the N antennas at the reception end. Here, i is an integer between 1 and N .

In this case, the relationship between the transmission vector $x(t)$ and the reception vector $y(t)$ is expressed by the following equation:

$$y(t) = \sqrt{\frac{\rho}{M}} Hx(t) + n(t) \quad \dots (1)$$

where H is a channel matrix that represents the transmission characteristics of the wireless transmission channels among the antennas, and the matrix elements h_{ij} represent the transmission characteristics (in a baseband representation) of the wireless transmission channel between the j th antenna of the transmission end and the i th antenna of the reception end. Here, i is an integer between 1 and N , and j is an integer between 1 and M . Accordingly, the channel matrix H is a matrix having N rows and M columns (N by M). Further, ρ represents the transmission power, and $n(t)$ represents the noise vector that is introduced in the wireless transmission channels and is assumed to be expressed by an additive Gaussian noise vector. The noise components at any time can be evaluated from random numbers in accordance with a Gaussian distribution.

If knowledge of the channel matrix H is acquired by the reception end, the communication

channel capacity (or the Shannon capacity) expressed as a ratio of (maximum) signal transmission speed to frequency (bps/Hz) can be evaluated by the following expression (2) with the expected value of the amount
5 I of conditional mutual information as to the transmission vector $x(t)$ and the reception vector $y(t)$.

$$E [I(x;y|H)] \leq E \left[\log \det \left(I_N + \frac{\rho}{M} HH^* \right) \right] \dots (2)$$

10 where: H represents the ergodicity obtained by evaluating the ensemble mean value using the time mean value; $E[\cdot]$ indicates that the term is the expected value; I_N represents the unit matrix having a dimension N; $[\cdot]^*$ indicates that the term is
15 a transposed conjugate; and $\det(\cdot)$ represents a determinant of the matrix.

Further, if the knowledge of the channel matrix H is shared between the reception end and the transmission end, the communication channel capacity
20 C can be expressed by the following equation (3):

$$C = \sum_{i=1}^{\alpha} \log_2 \left[1 + \frac{\rho}{M} \lambda_i \right] \dots (3)$$

where α and λ_i represent the number of ranks of the matrix expressed by HH^* and the i th
25 eigenvalue, respectively. Here, i is an integer

between 1 and α .

MIMO wireless communication systems and the communication channel capacities are disclosed in the following Non-Patent Documents 1 through 4.

5 (Non-Patent Document 1)

I.E. Telatar, "Capacity of Multi-Antenna Gaussian Channels", Bell Labs. Technical Memorandum, 1995 (See also "Europ. Trans. Telecommun."), Vol. 10, No. 6, pp. 585-595, Nov.-Dec. 1999)

10 (Non-Patent Document 2)

G.J. Foschini and M. Gans, "On the Limits of Wireless Communication in a Fading Environment When Using Multiple Antennas", Wireless Personal Commun., Vol. 6, No. 3, pp. 311-335, Mar. 1998

15 (Non-Patent Document 3)

G. J. Foschini, "Layered Space-Time Architecture for Wireless Communication in a Fading Environment When Using Multiple Antennas", Bell Syst. Tech. J., Vol. 1, No. 2, pp. 41-59, 1996

20 (Non-Patent Document 4)

J. B. Andersen, "Array Gain and Capacity for Known Random Channels with Multiple Element Arrays at Both Ends", IEEE J. Sel. Areas in Commun., Vol. 18, No. 11, pp. 2172-2178, Nov. 2000

25

In accordance with equation (3), the entire communication channel capacity C can be determined by the sum of the channel capacities C_i of communication channels that correspond to the eigenvalues λ_i of the matrix HH^* . In that case, as the communication channel capacities C_i are proportional to the eigenvalues λ_i , the channel capacity of a communication channel corresponding to a small eigenvalue is small, and such a
30 communication channel has a poor throughput and a high bit error rate. Accordingly, with a very small eigenvalue, it is difficult to use the channel
35

capacity of the communication channel corresponding to the eigenvalue in actual wireless communications, and only a part of the entire communication channel capacity C can be used.

5

SUMMARY OF THE INVENTION

A general object of the present invention is to provide MIMO wireless communication systems and MIMO wireless communication apparatuses in which
10 the above disadvantages are eliminated.

A more specific object of the present invention is to provide a MIMO wireless communication system that increases practical communication channel capacities among the Shannon
15 channel capacities that determine the ratio of maximum signal transmission speed to frequency, and wireless communication apparatuses that are employed in the MIMO wireless communication system.

The above objects of the present invention are achieved by a wireless communication apparatus that is employed in a multiple-input-multiple-output wireless communication system, and includes: a plurality of antenna units that transmit or receive radio frequency signals; and a weight controlling
20 unit that gives a weight with respect to each of the antenna units.
25

In this wireless communication apparatus, at least one of the antenna units is formed by an adaptive array antenna unit that has a plurality of
30 antenna elements, and directivity can be changed by varying the weights with respect to the antenna elements.

The weight controlling unit includes:
an eigenvalue calculating unit that
35 calculates the eigenvalues of a matrix represented by the product of a current channel matrix representing the transmission characteristics of the

wireless transmission channels of the respective antenna units and a conjugate transposed matrix of the current channel matrix;

an inverse calculation unit that
5 calculates such a channel matrix as to have all eigenvalues within a predetermined range that includes the average value of the calculated eigenvalues but does not include the smallest one of the calculated eigenvalues; and
10 a directivity adjusting unit that adjusts the directivity of the adaptive array antenna unit, so that the current channel matrix approaches to the channel matrix calculated by the inverse calculation unit.

15 The above and other objects and features of the present invention will become more apparent from the following description taken in conjunction with the accompanying drawings.

20 BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a conceptual diagram of a MIMO communication system;

FIG. 2 is a graph of the results of a simulation test conducted to examine the cumulative
25 distributions of eigenvalues in a case of $M=N=2$;

FIG. 3 is a graph of the results of a simulation test conducted to examine the cumulative distributions of eigenvalues in a case of $M=N=4$;

FIG. 4 is a graph of the results of a
30 simulation test conducted to examine the cumulative distributions of communication channel capacities in a case of $M=N=2$;

FIG. 5 is a graph of the results of a simulation test conducted to examine the cumulative
35 distributions of communication channel capacities in a case of $M=N=4$;

FIG. 6 is a graph of the results of a

simulation test conducted to examine the bit error rates with respect to communication channel capacities in a case of $M=N=2$;

FIG. 7 is a graph of the results of a
5 simulation test conducted to examine the bit error rates with respect to communication channel capacities in a case of $M=N=4$;

FIG. 8 is a schematic diagram illustrating wireless communication apparatuses that are employed
10 in a MIMO wireless communication system in accordance with the present invention;

FIG. 9 is a functional block diagram of each of the weight controlling units of the wireless communication apparatuses shown in FIG. 8;

15 FIG. 10 is a schematic diagram illustrating an adaptive array antenna that can be employed as an antenna unit of the wireless communication apparatuses shown in FIG. 8;

FIG. 11 is a schematic diagram
20 illustrating another adaptive array antenna that can be employed as an antenna unit of the wireless communication apparatuses shown in FIG. 8; and

FIG. 12 is a schematic diagram
25 illustrating yet another adaptive array antenna that can be employed as an antenna unit of the wireless communication apparatuses shown in FIG. 8.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

In the following, the principles of the
30 present invention are described, with reference to the results of various simulation tests.

FIG. 2 shows the results of a simulation test conducted to examine the variation of the eigenvalues of a matrix HH^* . In FIG. 2, the
35 ordinate axis indicates the cumulative distribution, and the abscissa axis indicates the sizes of the eigenvalues. In this simulation test, the following

conditions and procedures were employed.

1) The matrix elements h_{ij} of a channel matrix H are determined by generating random numbers in accordance with a complex Gaussian distribution having an average value of 0 and a standard deviation of 1 (CN (0, 1)).

2) Based on the determined channel matrix H , eigenvalues λ_1 and λ_2 of HH^* are determined. Since M and N are both 2, HH^* is a 2 by 2 matrix. If the number of ranks is 2, the two eigenvalues λ_1 and λ_2 ($\lambda_1 \geq \lambda_2$) are obtained.

3) The procedures 1) and 2) are repeated many times, so as to obtain a number of eigenvalues $\lambda_1^{(j)}$ and $\lambda_2^{(j)}$ (j representing the number of the repeating times).

4) A curve MIMOch1 is obtained by examining the distribution and the cumulative distribution of the larger eigenvalue λ_1 , and a curve MIMOch2 is obtained by examining the distribution and the cumulative distribution of the smaller eigenvalue λ_2 . Further, a curve MIMO_{average} is obtained by examining the distribution of and the cumulative distribution of the average value λ_{ave} of the eigenvalues λ_1 and λ_2 , and a curve MIMO_{total} is obtained by examining the distribution and the cumulative distribution of the total value λ_{total} of the eigenvalues λ_1 and λ_2 . For comparison purposes, a curve SISO that represents values $(h_{11})^2$ obtained with a single-input-single-output (SISO) wireless communication system is also shown.

In FIG. 2, the curve MIMOch2 that represents the cumulative distribution of the smaller eigenvalue λ_2 is located on the left side, and the curve MIMOch1 that represents the cumulative distribution of the larger eigenvalue λ_1 is located on the right side in accordance with the relationship between the eigenvalues. As for the

smaller eigenvalue λ_2 , 90 percent of the distribution is 0 dB or below. As for the larger eigenvalue λ_1 , on the other hand, only several percent of the distribution is 0 dB or below.

5 FIG. 3 shows the results of a simulation test that is different from the simulation test of FIG. 2 in that both M and N are 4. More specifically, the distributions and the cumulative distributions of four eigenvalues λ_1 , λ_2 , λ_3 , and λ_4 (10 $\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \lambda_4$) are examined to obtain curves MIMOch1 through MIMOch4. Further, the distributions and the cumulative distributions of the average value of the eigenvalues and the total value of the eigenvalues are examined to obtain a curve MIMO_{average} and a curve MIMO_{total}. For comparison purposes, a curve obtained with a SISO system is also shown. As can be seen from FIG. 3, the cumulative distribution curves are arranged in accordance with the relationship among the eigenvalues λ_1 , λ_2 , λ_3 , and λ_4 .

20 FIG. 4 shows the results of a simulation test that was conducted by examining the cumulative distribution of communication channel capacities based on the eigenvalues obtained through the above procedures 1) through 3). In this simulation test, 25 the signal-to-noise ratio (SNR) is assumed to be 18 dB. A curve MIMOch1 is obtained by examining the distribution and the cumulative distribution of the communication channel capacity based on the larger eigenvalue λ_1 , and a curve MIMOch2 is obtained by 30 examining the distribution and the cumulative distribution of the communication channel capacity based on the smaller eigenvalue λ_2 . A curve MIMO_{average} is obtained by examining the distribution and the cumulative distribution of the communication channel capacity based on the average value λ_{ave} of 35 the eigenvalues λ_1 and λ_2 , and a curve MIMO_{total} is obtained by examining the distribution and the

cumulative distribution of the communication channel capacity with respect to the total value λ_{total} of the eigenvalues λ_1 and λ_2 . Also, a curve $\text{MIMO}_{\text{average total}}$ is obtained by doubling the channel capacity based on the average value λ_{ave} . Further, a curve SISO that represents the communication channel capacity of a signal-input-single-output (SISO) wireless communication system is also shown for comparison purposes.

As described above, the eigenvalues are proportional to the communication channel capacities. Accordingly, the curve MIMOch2 that represents the communication channel capacity calculated from the smaller eigenvalue λ_2 is shown on the left side, and the curve MIMOch1 that represents the communication channel capacity based on the larger eigenvalue λ_1 is shown on the right side, which are the same as the simulation results shown in FIG. 2. More specifically, as for the smaller eigenvalue λ_2 , about 90 percent of the channel capacity distribution is 5 bps/Hz or below. As for the larger eigenvalue λ_1 , on the other hand, only several percent of the channel capacity distribution is 5 bps/Hz or below. Further, the communication channel capacity with respect to the smaller eigenvalue λ_2 is smaller than that of the SISO system. Also, the total communication channel capacity $\text{MIMO}_{\text{average total}}$ based on the average value λ_{ave} provides a larger communication channel capacity than the total communication channel capacity $\text{MIMO}_{\text{total}}$ based on the eigenvalues λ_i .

FIG. 5 shows the results of a simulation test that is different from the simulation test of FIG. 4 in that both M and N are 4. More specifically, the distributions and the cumulative distributions of communication channel capacities based on four eigenvalues $\lambda_1, \lambda_2, \lambda_3$, and λ_4 ($\lambda_1 \geq \lambda_2$

$\geq \lambda_3 \geq \lambda_4$) are examined to obtain curves MIMOch1 through MIMOch4. Further, the distributions and the cumulative distributions of communication channel capacities based on the average value of the eigenvalues and the total value of the eigenvalues are examined to obtain a curve MIMO_{average} and a curve MIMO_{total}, respectively. Also, a curve MIMO_{average total} is obtained by quadrupling the communication channel capacity based on the average value λ_{ave} . For comparison purposes, a curve obtained in a case of a SISO system is also shown. As can be seen from FIG. 5, the cumulative distribution curves are arranged in accordance with the relationship among the eigenvalues λ_1 , λ_2 , λ_3 , and λ_4 .

FIG. 6 shows the results of a simulation test that was conducted on the bit error rates (BER) obtained when BPSK-modulated signals were transmitted through the communication channels corresponding to the eigenvalues of a matrix HH^* . In this test, the values M and N are both 2. A curve MIMOch2 is obtained by examining the bit error rate in the communication channel with respect to the smaller eigenvalue λ_2 , and a curve MIMOch1 is obtained by examining the bit error rate in the communication channel with respect to the larger eigenvalue λ_1 . A curve MIMO_{ave} and a curve MIMO_{total} are obtained by examining the bit error rates in the communication channels with respect to the average value and the total value of the eigenvalues. Also, a curve MIMO_{average total} is obtained by examining the bit error rate with the total communication channel capacity based on the average value λ_{ave} . Further, a curve that is obtained in a case of a SISO system is also shown for comparison purposes.

As can be seen from FIG. 6, the bit error rate in the communication channel with respect to the larger eigenvalue λ_1 is low, and the bit error

rate in the communication path with respect to the eigenvalue λ_2 is high. In other words, the larger eigenvalue λ_1 provides a desirable communication channel, but the smaller eigenvalue λ_2 does not provide a desirable communication channel. In a case where E_b/N_0 is 10 dB, for example, the bit error rate (MIMOch1) in the communication channel with respect to the larger eigenvalue is approximately 10^{-5} , but the bit error rate (MIMOch2) in the communication channel with respect to the smaller eigenvalue is higher than 10^{-2} .

FIG. 7 also shows the results of a simulation test that was conducted on the bit error rates (BER) obtained when BPSK-modulated signals were transmitted through the communication channels corresponding to the eigenvalues of a matrix HH^* . In this test, the values M and N are both assumed to be 4. The bit error rates in the communication channels with respect to four eigenvalues λ_1 , λ_2 , λ_3 , and λ_4 ($\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \lambda_4$) are examined to obtain curves MIMOch1 through MIMOch4. The bit error rates in the communication channels with respect to the average value of the eigenvalues and the total value of the eigenvalues are examined to obtain a curve MIMO_{average} and a curve MIMO_{total}. Also, a curve MIMO_{average total} is obtained by examining the bit error rate with the total communication channel capacity based on the average value λ_{ave} . Further, a curve obtained in a case of a SISO system is shown for comparison purposes.

As can be seen from FIG. 7, the bit error rate curves are arranged in accordance with the relationship among the eigenvalues λ_1 , λ_2 , λ_3 , and λ_4 . Accordingly, a larger eigenvalue provides a desirable communication channel, but a smaller eigenvalue does not provide a desirable communication channel. Especially, the bit error

rate in the communication channel with respect to the smallest eigenvalue λ_4 is higher than the bit error rate in the case of a SISO system.

As described above, the communication
5 channel capacities and the bit error rates
calculated based on eigenvalues and the cumulative
distributions of the eigenvalues reveal that a
communication channel with respect to a small
eigenvalue cannot be a better communication channel
10 than that of a SISO system in terms of the
throughput and bit error rate. As a result, the
entire communication channel capacity C_{all} might
decrease. In a case where the communication channel
capacity with respect to the larger eigenvalue λ_1 is
15 represented by C_{large} , and the communication channel
capacity with respect to the smaller eigenvalue λ_2
is represented by C_{small} , with M and N being 2, the
entire communication channel capacity C_{all} is
expressed by:

20

$$C_{all} = C_{large} + C_{small}$$

If the communication channel capacity
 C_{small} with respect to the smaller eigenvalue λ_2
25 cannot be put into practical use, the entire
communication channel capacity C_{all} decreases
accordingly.

The present invention is aimed at
restricting generation of such small eigenvalues and
effectively utilizing the entire communication
30 channel capacity. In accordance with the present
invention, the matrix elements of the matrix HH^* ,
that is, the matrix elements h_{ij} of the
communication channel matrix H , are controlled so
35 that the eigenvalues to be obtained vary only in a
very small range. More specifically, the
eigenvalues of HH^* are first calculated based on the

current channel matrix H , and the average value λ_{ave} of the eigenvalues is then calculated. The matrix elements h_{ij}' of such a matrix $(H')(H')^*$ as to provide the same eigenvalue as the average value λ_{ave} is inversely calculated. In other words, the matrix elements h_{ij}' of a channel matrix H' are inversely calculated. The antenna directivity is then controlled so that the current channel matrix H approaches the inversely calculated channel matrix H' . As a result, the eigenvalue variation becomes narrower in the communication channels based on the updated channel matrix. The smallest eigenvalue variation can be obtained when all the eigenvalues are equal to the average value λ_{ave} . If such a communication environment is realized, the communication channel capacities can be more efficiently utilized.

In a case where the communication channel capacity with respect to the eigenvalue λ_{ave} is represented by C_{ave} , with M and N being 2, the entire communication channel capacity C_{all} is expressed by:

$$C_{all} = 2C_{ave}$$

The communication channel capacity based on the average value λ_{ave} of the eigenvalues is represented by the curves $MIMO_{average}$ shown in FIGS. 4 and 5, and the entire capacity is represented by the curves $MIMO_{average\ total}$. In the example shown in FIG. 4, each of the two communication channels provides the capacity represented by the curve $MIMO_{average}$. In the example shown in FIG. 5, each of the four communication channels provides the capacity represented by the curve $MIMO_{average}$. The entire communication channel capacity calculated by multiplying the average-value communication channel capacity by the number of ranks is greater than the

sum of the communication channel capacities based on the respective eigenvalues. Furthermore, the communication channel capacity ($\text{MIMO}_{\text{average}}$) based on the average value provides a more preferable
5 communication path than a SISO system. More specifically, if the matrix elements of the channel matrix H are adjusted so that the eigenvalues of HH^* become equal to the average value of the eigenvalues, the probability of the communication channel
10 capacity with respect to the adjusted matrix becoming smaller than that of a SISO system is greatly reduced. Furthermore, as shown in FIGS. 6 and 7, the bit error rate (the curve $\text{MIMO}_{\text{average}}$) of the communication channel based on the average value
15 λ_{ave} of the eigenvalues is much lower than the bit error rate (the curve $\text{MIMO}_{\text{total}}$) of a case in which the eigenvalues vary greatly.

If the variation of eigenvalues is restricted in the above manner, the variation of the
20 corresponding communication channel capacities is also narrowed, and the bit error rate is lowered. Accordingly, the entire communication channel capacity can be efficiently utilized. It should be obvious to those skilled in the art that the above
25 tendency can be observed not only in cases where M and N are 2 or 4, but also in cases where M and N are any other integers.

The following is a description of
30 embodiments of the present invention, with reference to the accompanying drawings.

FIG. 8 illustrates wireless communication apparatuses 802 and 804 that are employed in a MIMO wireless communication system in accordance with the
35 present invention. The wireless communication apparatus 802 includes M antenna units 806 that transmit and receive radio frequency signals. Here,

M is an integer of 2 or greater. In this embodiment, each antenna of the antenna units 806 is used both for transmission and reception, utilizing a switch for alternative modes or a frequency sharing device
5 (not shown). However, in other embodiments, antenna units may be provided especially for transmission, while the other antenna units are provided especially for reception. Also, other elements may accompany the antenna units. In this embodiment,
10 each of the M antenna units 806 is formed by an adaptive array antenna that can control directivity. In other embodiments, however, some of the M antenna units 806 may be formed by adaptive array antennas, and each of the other antenna units 806 may be
15 formed by a feeder antenna. As is described below, matrix elements h_{ij} determine which one(s) of antenna units 806 should be an adaptive array antenna.

The wireless communication apparatus 802
20 also includes converter units 808 corresponding to the M antenna units 806. The converter units 808 convert analog signals supplied from the antenna units 806 into digital signals for a weight controlling unit (described later), and vice versa.
25 At a time of transmission, each of the converter units 808 functions as a digital-analog converter. At a time of reception, each of the converter units 808 functions as an analog-digital converter. In a case where transmission channels are provided
30 separately from reception channels, however, digital-analog converter units may be provided separately from analog-digital converter units.

The wireless communication apparatus 802
also includes a weight controlling unit 810 that
35 controls the weights with respect to the M antenna units 806. The wireless communication apparatus 802 can allocate a suitable weight μ_j to each digital

signal to be input to converter units 808 and each digital signal output from the converter units 808. Here, j is an integer between 1 and M .

For ease of explanation, the wireless communication apparatuses 802 and 804 of this embodiment have the same structures, and therefore, the wireless communication apparatus 804 is not described in detail. The wireless communication apparatus 804 includes N antenna units 812 each connected to a converter unit 814. Here, N is an integer of 2 or greater, and is either the same as M or different from M . Each digital signal to be input to and output from the converter units 814 is given a weight v_i by a weight controlling unit 816. Here, i is an integer between 1 and N .

FIG. 9 is a functional block diagram of the weight controlling units 810 and 816. Each of the weight controlling units 810 and 816 includes a controller 902 that controls the operation of each of the following components: a measuring unit 904 that measures each signal supplied from the antenna units; a notifying unit 906 that notifies the other end in communication of channel matrix information; an eigenvalue calculating unit 908 that calculates the eigenvalues of a matrix HH^* , or the like; an inverse calculation unit 910 that calculates a channel matrix H' after updating; and a weight adjusting unit 912 that controls the directivity of the adaptive array antenna. The directivity control may be performed through beam-forming for steering main beams toward desired waves, or through null-steering for steering nulls toward interferers, or through an operation that combines the above two operations. In any way, the directivity should be adjusted so that the signal-to-interference-plus-noise ratio increases to the maximum.

The operations are next described. In

this embodiment, the wireless communication apparatus 802 is at the reception end, and the wireless communication apparatus 804 is at the transmission end, for ease of explanation. However, 5 it is of course possible to switch the sides. First, the wireless communication apparatus 802 performs front-end operations such as frequency conversion and band limitation on each radio frequency signal supplied from the antenna units 806. The converter 10 units 808 convert analog signals into digital signals, and the digital signals are suitably weighted. The weighted digital signals are then introduced into the weight controlling unit 810. It should be noted that the components used for the 15 front-end operations are not shown in the drawing. The weight controlling unit 810 measures each received signal, so as to determine the matrix elements h_{ij} of the current channel matrix H . Here, i represents an integer between 1 and N , and j 20 represents an integer between 1 and M . The matrix element information obtained through the measurement is then sent to the other end of communication, such as the wireless communication apparatus 804, via a wireless channel. The signal processing for the 25 notification is performed by the notifying unit 906 under the control of the controller 902. Through the notification, the wireless communication apparatuses 802 and 804 on the transmission and reception ends can share the knowledge with respect 30 to the current channel matrix H . Although the measuring unit 904 and the notifying unit 906 are not necessarily required in all wireless communication apparatuses, every wireless communication apparatus should at least be capable 35 of utilizing the information of the current channel matrix H .

Based on the measured or sent current

channel matrix H , the weight controlling unit 810 calculates the eigenvalues λ_i of the HH^* (i being an integer between 1 and r , and r representing the number of ranks of the matrix HH^*), the total value of the eigenvalues, and the average value λ_{ave} of the eigenvalues. These operations are performed by the eigenvalue calculating unit 908. As the M antenna units 806 and the N antenna units 812 exist in this embodiment, the channel matrix H is a matrix of M by N , and the matrix HH^* is a square matrix of N by N . Accordingly, N eigenvalues λ_i are normally obtained ($\lambda_1 \geq \dots \geq \lambda_N$).

The weight controlling unit 810 then inversely calculates such a channel matrix H_{ave} that all the eigenvalues become equal to the average value λ_{ave} , using the average value λ_{ave} in the inverse calculation. In other words, the channel matrix H_{ave} is determined so that all the eigenvalues of a matrix $(H_{ave})(H_{ave})^*$ become equal to the average value λ_{ave} . This operation is performed by the inverse calculation unit 910.

The weight controlling unit 810 then controls the adaptive array antenna directivity of the antenna units 806, so that the current channel matrix H approaches the inversely calculated channel matrix H_{ave} . This operation is performed by the weight adjusting unit 912. There are various techniques for adjusting the contents of a channel matrix. For example, the matrix elements h_{ij} can be made larger in the following manner. First, code sequences C_1 through C_M that vertically cross one another are allocated in advance to the M antenna units 806 of the wireless communication apparatus 802. Likewise, code sequences D_1 through D_N that vertically cross one another are allocated in advance to the N antenna units 812 of the wireless communication apparatus 804. These code sequences

are known to both the transmission end and the reception end. The j th antenna unit 812 of the wireless communication apparatus 804 steers the main beams in the incoming direction of the code sequence C_j , and the i th antenna unit 806 of the wireless communication apparatus 802 steers the main beams in the incoming direction of the code sequence D_i . By doing so at both ends, the matrix elements h_{ij} can be adjusted. Since the code sequences vertically cross one another, the matrix elements can be distinguished from one another. On the other hand, if nulls are steered, instead of main beams, the matrix elements h_{ij} can be made smaller. The directivity control may be performed either independently of or in conjunction with the weights μ_j and v_i given to the antenna units 806 and 812.

In this embodiment, all the M antenna units 806 and the N antenna units 812 are formed by adaptive array antennas, and the directivity of each of the antenna units 806 and 812 can be adjusted separately from the others. Accordingly, all the matrix elements h_{ij} can be adjusted. In this aspect, the wireless communication apparatuses 802 and 804 greatly differ from a conventional MIMO wireless communication apparatus in which antenna units are formed by individual antenna elements, instead of adaptive array antennas. Also, in a case where a part of the matrix elements h_{ij} is to be adjusted, it is possible to employ an adaptive array antenna for a part of the antenna units.

In this embodiment, the matrix calculated by the inverse calculation unit 910 has eigenvalues that are all equal to the average value λ_{ave} . As described above, in such a communication environment, the eigenvalues do not vary, all the communication channels have the same communication channel capacity C_{ave} , and the entire communication channel

capacity C_{all} can be effectively utilized. In accordance with the present invention, a great effect can be obtained by narrowing the variation of the eigenvalues, not to mention by eliminating the variation of the eigenvalues. As long as an extremely small eigenvalue is not generated, or as long as a communication channel with an extremely poor throughput and an extremely high bit error rate is not generated, the entire communication channel capacity C_{all} can be used in actual communications. Therefore, the inverse calculation unit 910 advantageously calculates the matrix H' so that the eigenvalues of $(H')(H')^*$ fall within a predetermined range that includes the average value λ_{ave} but does not include the smallest eigenvalue λ_{min} . It is also possible to set such a range that does not include the smallest eigenvalue and the largest eigenvalue but does include the average value λ_{ave} . In either way, the eigenvalue variation of the newly calculated matrix $(H')(H')^*$ should be made narrower than the eigenvalue variation of the current matrix HH^* .

The adaptive array antennas that can be employed for the antenna units 806 and 812 of this embodiment may be of any type that can feed analog signals to the converter units 808 and 814, and receive analog signals from the converter units 808 and 814. It is therefore possible to employ adaptive array antennas of a spatial composition type or a phased array type for the antenna units 806 and 812.

FIG. 10 illustrates an adaptive array antenna 1000 of the spatial composition type that can be employed for the antenna units 806 and 812. As shown in FIG. 10, the adaptive array antenna 1000 includes a feeder antenna element 1002 that is connected to the converter units 808 and 814 shown

in FIG. 8, and non-feeder antenna elements 1004. For ease of explanation, the components to be used for front-end operations such as frequency conversion and band limitation are not shown in FIG. 10. The antenna elements are arranged at a distance shorter than a half-wave length from one another, so that the spatial correlation among the antenna elements can be great. Each of the non-feeder antenna elements 1004 is connected to a ground potential via a variable reactance circuit unit 1006 that can vary the reactance in accordance with control signals. Each control signal for the variable reactance circuit unit 1006 is adaptively controlled by a variable reactance controlling circuit unit 1008. This variable reactance controlling circuit unit 1008 may be provided in the weight controlling units 810 and 816, or may be provided independently. Each control signal may be generated in conjunction with the weights μ_j and v_i given to the antenna units 806 and 812, or may be generated independently.

With such an adaptive array antenna of the spatial composition type, the number of elements to be controlled can be reduced (each one of the variable reactance circuit units 1006 can be formed by one capacitor, for example). Thus, the matrix elements h_{ij} of a channel matrix can be readily adjusted.

FIG. 11 illustrates an adaptive array antenna 1100 of the phased array type that can be employed for the antenna units 806 and 812. As shown in FIG. 11, the adaptive array antenna 1100 includes feeder antennas 1102, and radio frequency weighting circuit units 1104 that weight signals supplied from the feeder antennas 1102 in accordance with control signals. The radio frequency weighting circuit units 1104 adjust the phase of each signal

(in some special cases, the amplitude as well as the phase of each signal can be adjusted). Each output from the radio frequency weighting circuit units 1104 is supplied to a radio frequency compounding
5 circuit unit 1106 that outputs a composite analog signal to the converter units 808 and 814. The composite analog signal is also supplied to a radio frequency weight controlling circuit 1108 that controls weights to be added to the feeder antenna
10 elements. This radio frequency weight controlling unit 1108 may be provided in the weight controlling units 810 and 816 or may be provided independently. Further, each control signal may be generated in conjunction with the weights μ_j and v_i given to the
15 antenna units 806 and 812, or may be generated independently.

With such an adaptive array antenna of the phased array type, phases can be arbitrarily adjusted by the radio frequency weight controlling
20 circuit units 1104, and accordingly, a greater degree of freedom can be allowed for the adjusting operation. Thus, the matrix elements h_{ij} of a channel matrix can be minutely adjusted.

FIG. 12 illustrates a case where polarized
25 wave sharing antennas are employed as antenna elements. In this structure, radio frequency weighting circuit unit 1206 and 1208 are provided for polarized wave sharing antennas 1202 and 1204, respectively. The radio frequency weighting circuit
30 units 1206 and 1208 weight signals in accordance with control signals, and supply the weighted signals to a radio frequency compounding circuit unit 1210 that compounds the weighted signals. The composite signal is then supplied from the radio
35 frequency compounding circuit unit 1210 to the converter units 808 and 814 as well as a radio frequency weight controlling circuit unit 1212 that

generates control signals.

With such a structure, the channel matrix elements h_{ij} can be more minutely adjusted, because the polarization characteristics of radio signals, as well as the amplitudes and phases, can be taken into consideration.

As described so far, at least one adaptive array antenna is employed for the antenna units used in a MIMO wireless communication apparatus of this embodiment. The weight controlling unit of the wireless communication apparatus calculates such a channel matrix that narrows the eigenvalue variation. The adaptive array antenna directivity is then controlled in such a manner that the current channel matrix approaches the calculated channel matrix. After the eigenvalue variation is narrowed (ideally, all the eigenvalues become equal to the average value λ_{ave} , and accordingly, the eigenvalue variation is eliminated), the variation of communication channel capacities corresponding to the eigenvalues is also narrowed. As a result, the communication channel capacities corresponding to all the eigenvalues can be effectively utilized in actual communications.

It should be noted that the present invention is not limited to the embodiments specifically disclosed above, but other variations and modifications may be made without departing from the scope of the present invention.

This patent application is based on Japanese Priority Patent Application No. 2003-200446, filed on July 23, 2003, the entire contents of which are hereby incorporated by reference.